

BEA920010028US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

100972 U.S. PRO  
09/995028  
11/26/01  
#2  
2-13-02

In re Application of: **Chandrasekharan Seetharaman et al.**

Serial No.: **Unassigned**

Filed: **Herewith**

Group Art Unit: **Unassigned**

Examiner: **Unassigned**

Attorney Docket No.: **BEA920010028US1**

Title: **METHOD FOR SAFELY ACCESSING SHARED STORAGE**

Box DD  
Assistant Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Enclosed herewith is a Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- ☒ Together with the present application.
- ☐ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

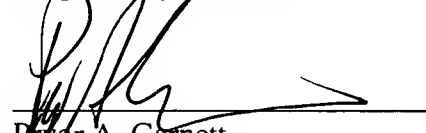
- ☒ No separate requirements are needed.
- ☒ No additional fee is required.

BEA920010028US1

**Provision of Copies of References**

- ☒ [X] Copies of all cited references are enclosed.
- ☐ [ ] A copy of the ABSTRACT of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.
- ☐ [ ] A partial translation of Reference \_\_\_\_ is enclosed herewith and forms a part hereof.
- ☐ [ ] A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☐ [ ] A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.
- ☒ [X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 501336. A duplicate copy of this transmittal is attached.

Respectfully submitted,

  
\_\_\_\_\_  
Pryor A. Garnett  
Registration No. 32,136

Date: 26 Nov. 2001

IBM Corporation  
Intellectual Property Law Dept., EDO2-805  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JD872 U.S. PTO  
09/995028  
11/26/01

Applicant(s): Chandrasekharan Seetharaman et al.

Serial No.: (Unassigned)

Filed: (Herewith)

Title: Method for safely accessing shared storage

Attorney Docket No.: BEA920010028US1

Group Art Unit: (Unassigned)

Examiner: (Unassigned)

Box DD

Assistant Commissioner for Patents

Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted under 37 CFR 1.97(b).

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Date: 26 Nov 2001

IBM Corporation

Intellectual Property Law Dept., EDO2-805

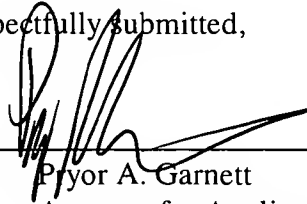
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By

  
Pryor A. Garnett

Attorney for Applicant

Registration No. 32,136

Form PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Document Number (Optional)

Application Number

Unassigned

Applicant

Chandrasekharan Seetharaman et al.

Filing Date

Herewith

Group Art Unit

Unassigned

U.S. PTO  
09/995028  
11/26/01

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6 2 4 3 8 1 4	6/5/01	Matena	713	200	
	6 1 9 2 4 9 3	2/20/01	Moiin et al.	714	4	
	6 1 8 2 1 8 7	1/30/01	Dias et al.	711	151	
	6 1 5 1 6 8 8	11/21/00	Wipfel et al.	714	48	
	6 0 3 8 6 9 1	3/14/00	VanHuben et al.	712	21	
	6 0 2 8 1 6 8	2/20/01	Frey	707	10	
	5 9 9 9 9 7 6	12/7/99	Schmuck et al.	709	226	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
2 0 0 0 4 8 0 0 1		1/30/00	JAPAN				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Kwon Lee et al., "Proceeding First IEEE/ACM International Symposium on Cluster Computing and the Grid" "Adaptive Prefetching Technique for Shared Virtual Memory" May 15-18, 2001, IEEE Computer Society, pp 521-526.
	Bryans, "Automating Database Implementation," April 1995, IBM Technical Disclosure Bulletin Vol. 38 No. 4, pp265-266.
	Bilan et al., "Deriving Unique Names in Distributed Storage Management Environments," May 1993, IBM Technical Disclosure Bulletin Vol. 36 No. 5, pp287-290.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449

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EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5	9	7	8	8	1	1		11/2/99	Smiley	707	103	
	5	8	3	3	5	5	7		8/3/99	Brewer et al.	711	202	
	5	8	8	3	0	8	8		4/6/99	Schmuck et al.	707	1	
	5	8	2	8	5	7	5		10/27/98	Fish et al.	395	601	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	Translation	
													YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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